

香港房屋委員會工程和物業管理安全研討會

# 建造業高風險工序的風險管理

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首席顧問



職業安全健康局  
OCCUPATIONAL SAFETY & HEALTH COUNCIL

# 建造業工序...高風險嗎??



意外統計截至2020年9月30日  
資料來自本地報章報導

2020年

建

造

業

工

作

致

命

意

外

人體從高處墮下意外

大坑東道維修工斜坡墮地亡 3月23日  
工人補天花從5米高墮地身亡 5月13日  
拆工作台失平衡2米墮地 工人頭部重創亡 6月4日  
啟德地盤工字鐵斷裂擊斃印裔男工 6月27日  
啟德地盤再奪命 工作台倒塌1死6傷 7月21日

吊運/負荷物移動機械意外

鰂魚涌地盤男雜工遭吊機夾斃 1月13日  
將藍隧道地盤男工遭吊運中的工字鐵擊斃 3月31日  
跑馬地工人地底操作挖土機遭工字鐵擊中亡 4月27日  
吊運中竹枝墮下擊中工人 工人從高處墮下不治 5月8日  
啟德地盤工人遭4噸管道壓上身重創送院不治 7月14日  
女工被行駛中的工程車撞斃 8月12日

操作/維修機械設備意外

將軍澳工人被碎石機夾斃 1月11日  
維修工人被絞車鋼索擊中斃命 6月30日  
啟德地盤再有工業意外 判頭墮兩米深坑不治 7月23日

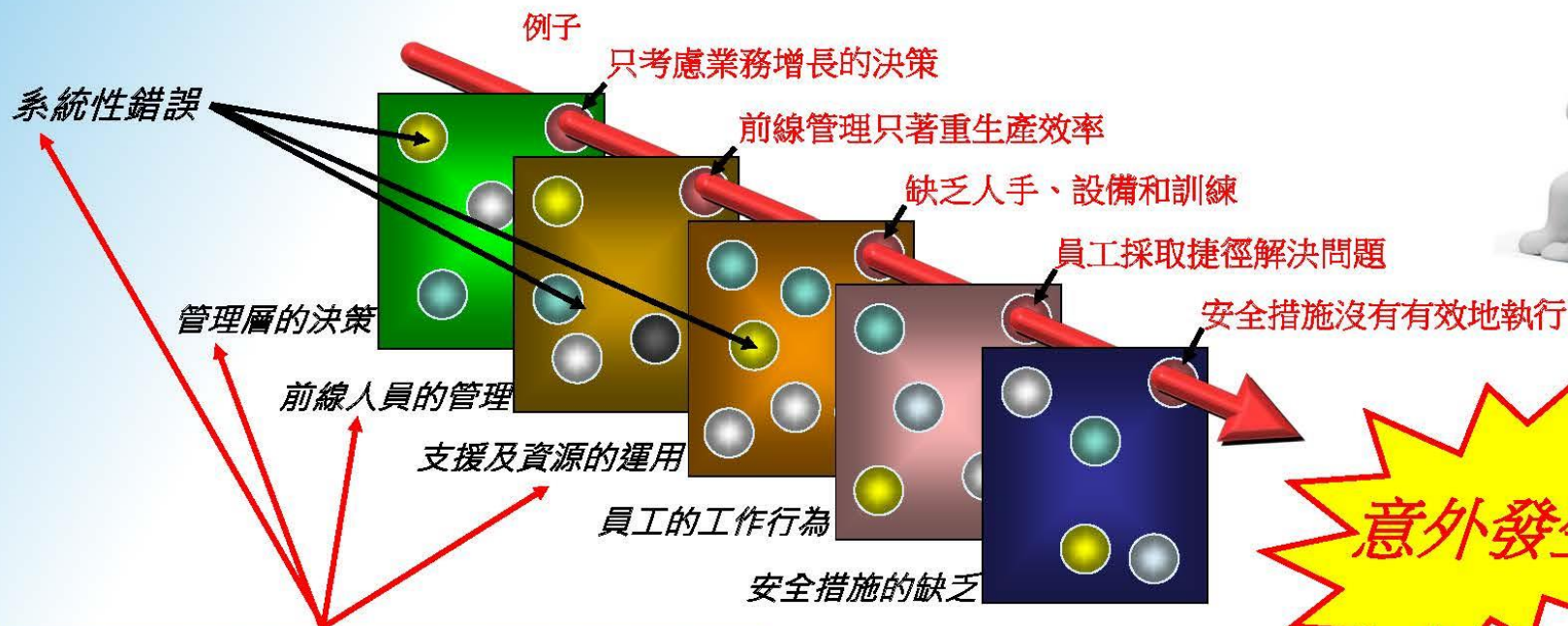
觸電意外

九龍灣男工郵政署地盤觸電死亡 7月20日  
維修工人修理冷氣機觸電死亡 9月16日





# 為何意外會發生？



**強化風險管理從源頭入手!**

Ref: 瑞士乳酪理論 (Swiss Cheese Model)

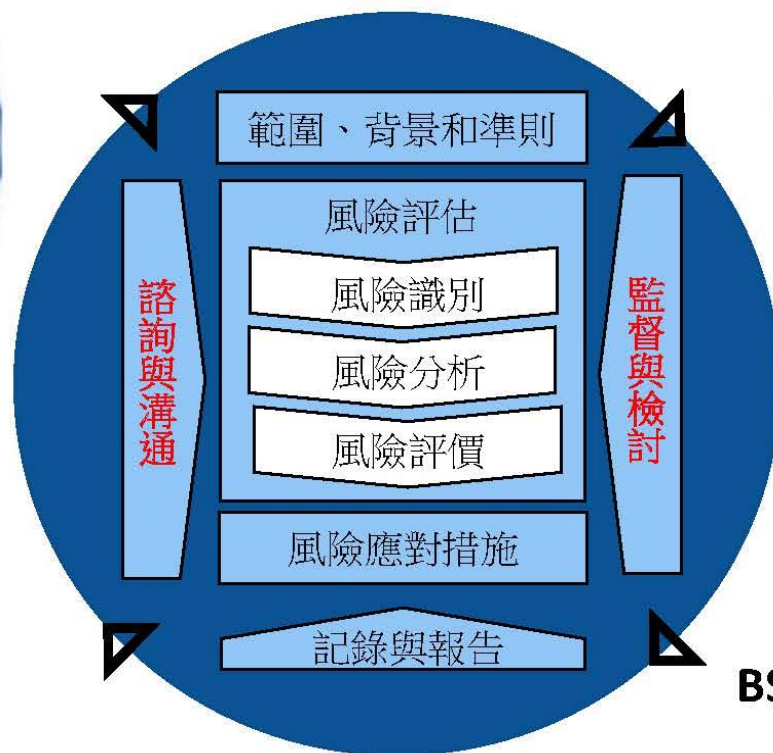


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## 建造業高風險工序的風險管理



## 風險管理 = 風險評估???



BS ISO 31000:2018  
風險管理指引





#### 引發觀點的技巧

- 腦震盪
- 面談/調查

#### 識別風險的技巧

- 檢查清單
- 情景分析

#### 確認風險來源及成因的技巧

- 根本原因分析
- 魚骨圖

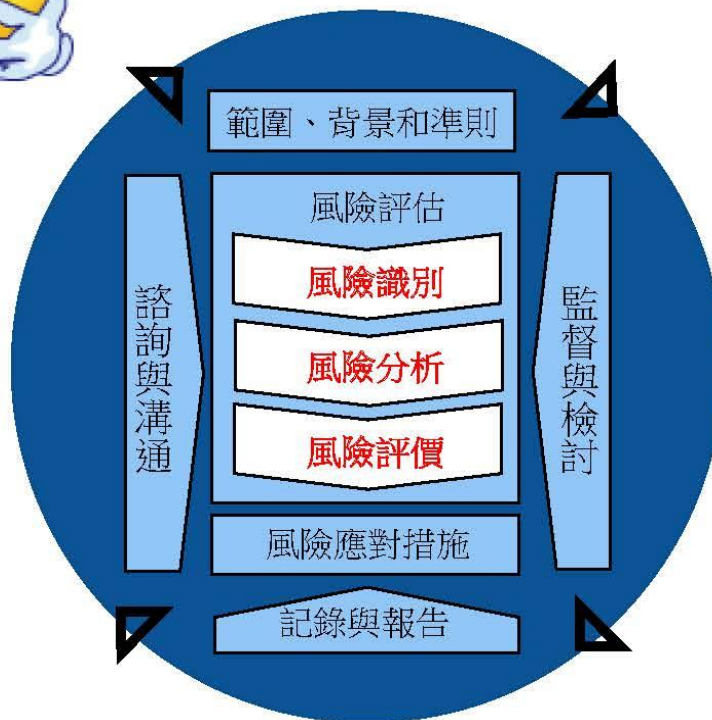
#### 評估風險重要性的技巧

- 最低合理可行原則
- 柏拉圖分析圖

#### 選擇應對措施的技巧

- 成本效益分析
- 決策樹分析

## 風險評估的技巧



BS EN IEC 31010:2019

## 風險評估的技巧

#### 分析應對措施的技巧

- 危害分析與關鍵控制點

#### 瞭解危害發生的嚴重性和可能性的技巧

- 事件樹/故障樹分析

#### 分析相互關係的技巧

- 交叉影響分析

#### 量化風險的技巧

- 計算風險值



## 記錄和報告的技巧

- 風險矩陣



## 建造業高風險工序的風險管理

等級	發生可能性	可能性定義
5	相當可能	預計數週內可發生
4		
3		
2		
1	微乎其微	理論上可行 但極不可能發生

危害發生的可能性

等級	對安全 and 健康造成的影響	對環境與社區造成的影響
a	多人死亡事故	不可逆轉的 重大傷害
b		
c		
d		
e	急救個案	輕微的臨時損壞

危害發生的嚴重性

Ref: BS EN IEC 31010:2019 風險評估的技巧

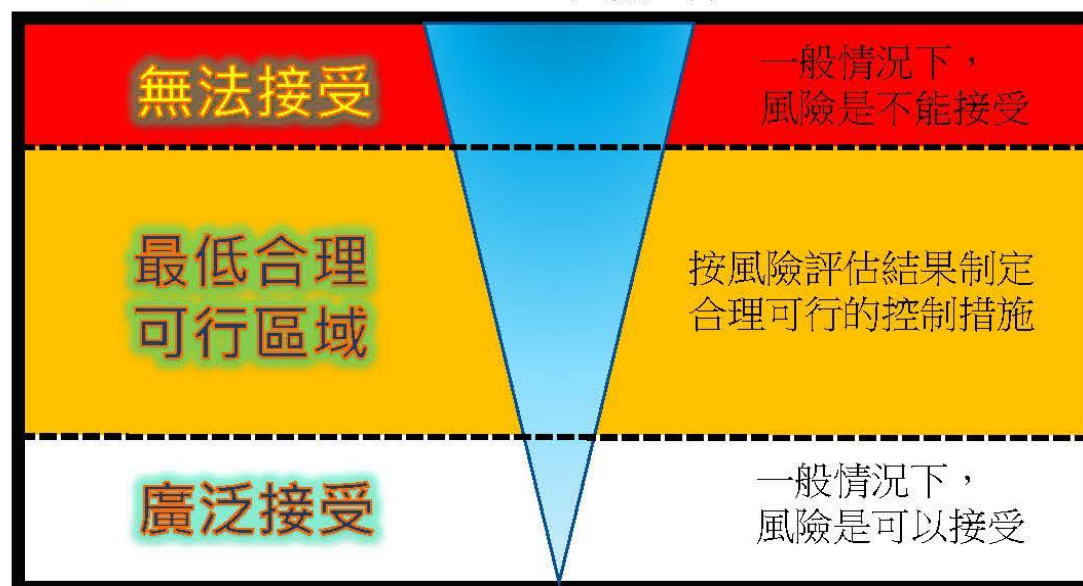
# 風險矩陣及最低合理可行原則



↑ 危害嚴重性等級	a	Ⅲ	Ⅲ	Ⅱ	Ⅰ	Ⅰ
	b	Ⅳ	Ⅲ	Ⅲ	Ⅱ	Ⅰ
	c	Ⅴ	Ⅳ	Ⅲ	Ⅱ	Ⅰ
	d	Ⅴ	Ⅴ	Ⅳ	Ⅲ	Ⅱ
	e	Ⅴ	Ⅴ	Ⅳ	Ⅲ	Ⅱ
		1	2	3	4	5
危害發生可能性等級						

危害發生可能性等級

風險矩陣



最低合理可行原則 (ALARP)

## 風險管理面對的困難

1. 欠缺  
規劃階段的  
風險管理計劃

2. 欠缺針對性  
風險評估



### 進行風險管理 面對的困難

3. 欠缺  
參與及溝通

5. 未有考慮  
人為失誤因素

4. 未能明白  
或願意遵從  
安全措施







強化  
風險管理

1

建築安全設計

2

針對性風險評估

3

動態風險評估

4

情境意識

5

創新科技的應用

6

以人為本的風險管理





# 1. 建築安全設計



規劃與設計安全圖解指南  
(房委會)



規劃與設計安全圖解指南  
(房委會)

- 於前期的設計階段強化風險管理

# 1. 實行建築安全設計的例子 – 房委會



護欄及屏障



維修通道及工作平台







## 2. 針對性風險評估

項目	工序步驟	危害來源	危害影響	風險評價			建議控制措施
1	吊運負荷物	不正確吊運工作	負荷物下墜	4	4	16	(1) 確保起重機操作員及吊索工已持有有效訓練證書 (2) 起重機操作員應根據製造商的指引及規定，正確操作起重機 (3) 確保安全操作負荷已明確標明於起重機上 (4) 吊運負荷物時，不可超逾起重機標明的安全操作負荷



### 一般性的吊運風險評估

適用於所有工序嗎？



能針對實際施工程序嗎？

## 2. 針對性風險評估





## 2. 針對性風險評估例子 – 吊運天秤組件



特殊環境因素，如招牌林立的街道/附近的工作區域



埋碼工作涉及的其他危害，如高處工作



吊運工作成員的安排



### 吊運天秤組件 針對性風險評估



組件擺放位置、  
存放區域及運輸方法



起重機的選擇、設置地點、負載能力及操作半徑



天秤組件的特徵如  
體積、重量及重心位置





## 建造業高風險工序的風險管理



特殊環境因素，如喉管  
及狗臂架的繫穩位置



往外牆工作的  
進出入通道及物料運輸



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## 2. 針對性風險評估例子 – 搭建懸空式棚架



人手安排



搭建懸空式棚架  
針對性風險評估



因應環境選用  
合適的防墮裝備



工具的選擇

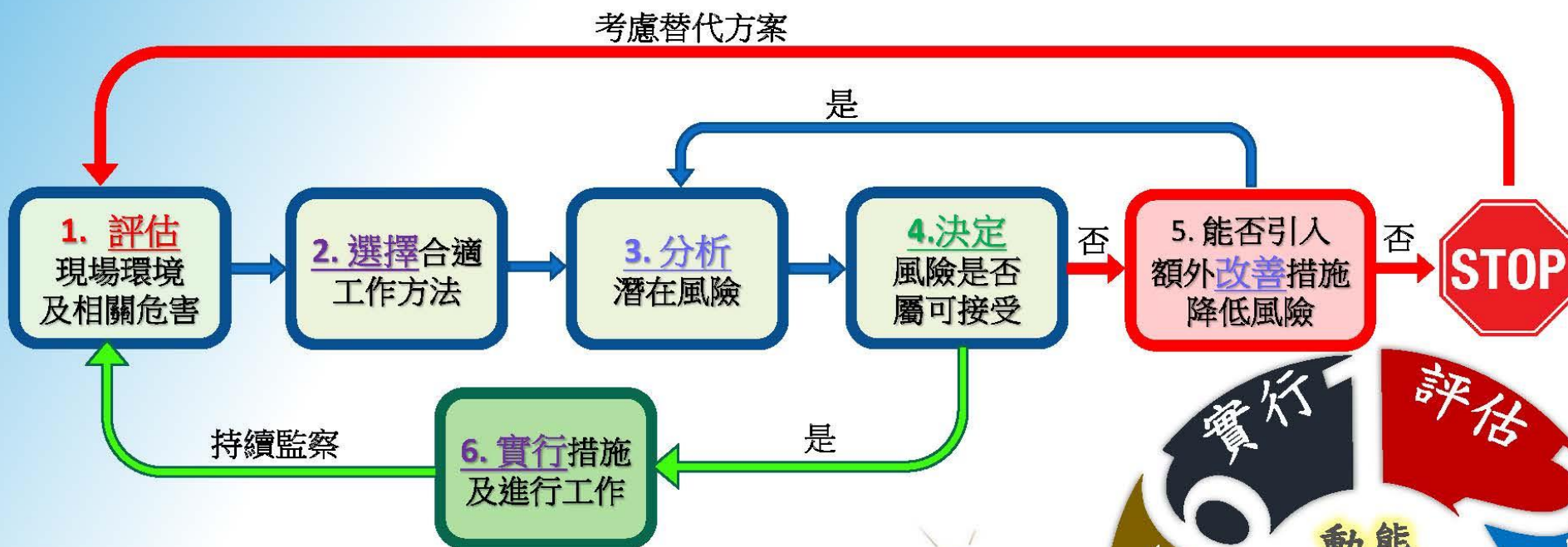


物料的選擇





### 3. 動態風險評估



### 動態風險評估的流程



## 建造業高風險工序的風險管理

### Evaluate the hazards

#### 評估現場環境及相關危害

- 有否特殊天氣情況如強風或陣風
- 吊船設計所能承受風速
- 注意漏斗效應（例如在兩棟建築物之間）
- 吊船作業高度
- 吊船下方的工作區是否已經妥善圍封
- 建築物有否凸出的部分或倘開的窗戶

### Assess potential risk

### Select safe system of work

#### 選擇工作方法及分析潛在風險

- 持續量度實際風速
- 選擇吊船作業高度
- 監察吊船的傾側度
- 員工的經驗及能力

### Take decision wisely

#### 決定繼續工作與否

- 實行措施或停止工作



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## 3. 動態風險評估例子

# EAST method





## 4. 工作上活用情境意識



「觀察」



「思考」



「溝通」



### 情境意識

留意及警覺身邊有否人、事物或環境對自己或他人的安全構成威脅

Ref: 英國健康安全執行處 (Health & Safety Executive)

- 身邊有否對你安全構成威脅的事物？
- 該威脅是否足以危害你及他人的安全？
- 能否採取適當措施安全地工作？



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## 4. 工作上活用情境意識的例子



挖土機觸碰未固定的  
工字鐵引致工字鐵墮下



於流動式起重機  
與附近圍欄之間被夾到



被吊運中的竹枝墮下擊中  
並從高處墮下



## 4. 大家一同活用情境意識

**S** Stop and think pay attention to the surroundings  
停一停 諗一諗 留意身邊環境

**A** Ask and clarify before you work  
問清楚 講清楚 先開工

**G** Get it done, do it right, do it Well  
做完 做啱 做好

**E** Earn more only if you do safer  
做足啲 安全啲 有著數

Let's be a

**SAGE !**

(智者)



「做完」



「做啱」



「做好」

做完 

做啱 

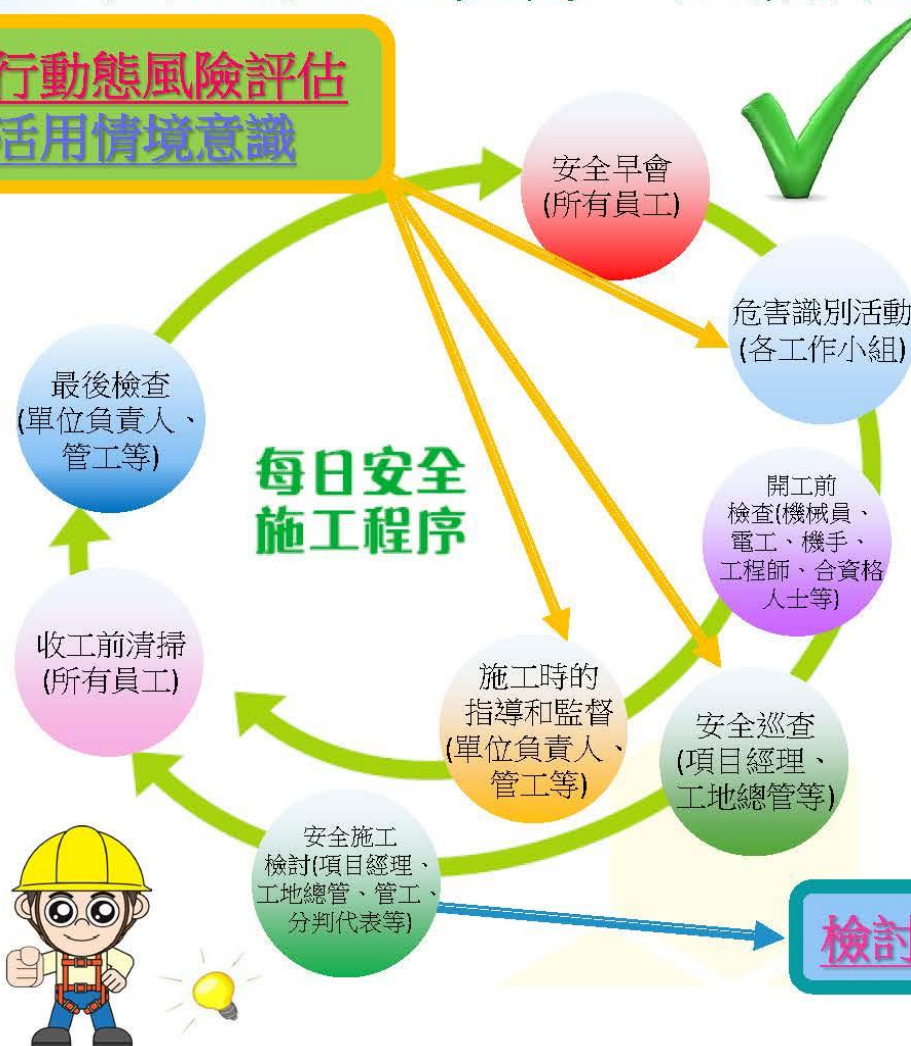
做好 





# 安全施工程序 - 風險管理工具的應用

進行動態風險評估  
活用情境意識



檢討針對性風險評估



## 5. 創新科技的應用



### 人工智能(AI)



### 輔助機械應用 (Robotics, Exoskeleton)



### 建築信息模擬 (BIM)



### 無線射頻識別技術 (RFID)



### 虛擬/擴增實境 (結合VR及AR)



更多.....



## 6. 以人為本的風險管理





## 建造業高風險工序的風險管理



## 工作安全由我做起： 高處工作及操作重型機械安全推廣活動

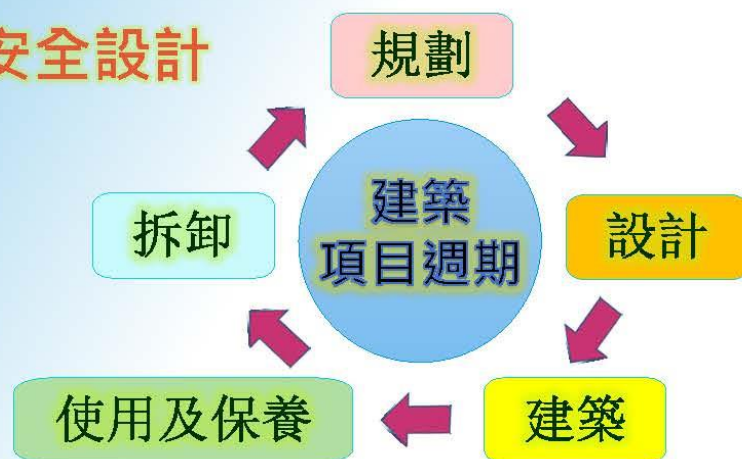




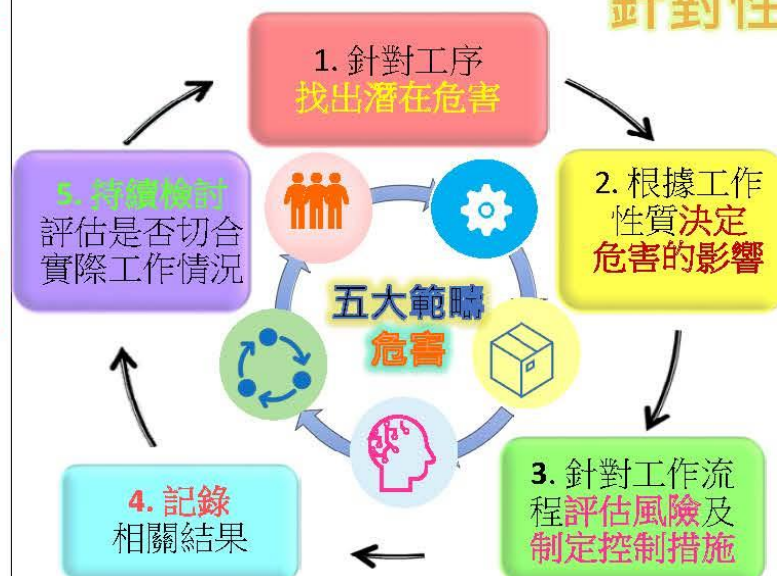
## 建造業高風險工序的風險管理

## 總結

### 建築安全設計



## 針對性風險評估



## 動態風險評估



## 情境意識

# 做好風險管理，保障工地安全

## 零傷亡願景運動

**VISION ZERO**  
Safety. Health. Wellbeing.



所有與工作有關的事故、疾病  
及傷害都是**可以預防的**



生命是**不能妥協的**



我們需要**預防文化**，因這是實現  
零傷亡願景的唯一方法





## 建造業高風險工序的風險管理



[www.oshc.org.hk](http://www.oshc.org.hk)



## **Title: Safety Forum 2020 for Works Contracts and Property Management Services Contracts**

Super            Safety Forum 2020 for Works Contracts  
and Property Management Services Contracts  
2 November 2020

VO:             Here is the footage from  
“Safety Forum 2020 for Works Contracts and Property Management Services Contracts”  
which was held on 2 November 2020

Super            Dr. Winson Yeung  
Principal Consultant of the Occupational Safety and Health Council  
Topic: “Risk Management for High Risk Construction Work”

VO:             The Speaker is Dr. Winson YEUNG, Principal Consultant of the Occupational Safety and  
Health Council.  
His presentation topic is “Risk Management for High Risk Construction Work”

Dr. Yeung:     Hello guests and friends from the industry  
First, let me thank the Housing Authority (HA)  
on behalf of the OSHC for the invitation to safety forum today  
My topic today is in line with the theme  
It is Risk Management for High Risk Construction Work  
Is the construction industry actually a high risk industry?  
Looking at the numbers of accidents until the end of September this year  
we all know that over ten fatal accidents happened  
If we classify the accidents  
just now OSHC Chairman Dr Chan has also mentioned two categories  
that we should pay more attention to  
One is working at height and the other is using of heavy machinery  
for example cranes or excavators  
These are situations we should pay more attention to  
Why do these accidents happen?  
We find that many organisations want to prevent accidents  
and they implement layers of protective measures  
but when these measures are not implemented properly  
or if there are flaws, then accidents happen  
So, we are very concerned about strengthening the risk management  
especially at the source of risk  
Indeed, risk assessment is often mentioned in construction industry  
but what is the difference between risk assessment and risk management?  
If you have the time, you can check out  
the BS ISO 30001 risk management guidelines  
It mentions that  
risk management comprises many categories  
not only including risk assessment  
but also staff consultation and communication  
and monitoring the staff to follow up the results of risk assessment  
Regular reviews are also very important  
So, you can see on the top left corner  
risk management is not static, it is dynamic  
It changes with work environment or work procedure  
It keeps on updating  
Also, the risk management as shown on the top left corner  
is not generic, it is task-specific



It is formulated by focusing on the work natures or work procedures  
If you would like to know more about the techniques for risk assessment  
please check out the BSEN IEC 31010  
which introduces a lot of techniques for risk assessment  
such as techniques for risk identification  
We usually use checklists  
and if we need to find out the source of a risk  
we can use a fishbone diagram  
what methods should the construction industry adopt?  
You can see at the bottom right corner, it is a risk matrix  
What is a risk matrix?  
Simply speaking, after identifying the hazards of a work process  
we need to assess these risks, in the risk assessment  
We need to multiply the probability or likelihood of these hazards by the level of their  
consequence severity  
Finally, the risk matrix works out as shown at the top right corner  
For high-risk work processes  
what reasonable and practical measures can we adopt  
in order to minimise the risks?  
It is very important  
I want to explore with you now  
What are the difficulties that the industry facing in risk management?  
The first one is  
risk management often focused on the construction period  
and rarely considered at design stage  
The second one is that our risk management is often generic  
it does not specifically focus on the work nature or the work procedure  
we have also discovered  
How about the involvement and communication with the frontline staff?  
Do they understand and follow the safety measures in workplace?  
Lastly, do our risk management take into account of human factors?  
In fact, the above questions make risk management even more difficult  
How can we overcome these problems?  
I have some preliminary ideas  
Ideas for the industry to think about  
The first one is how do we promote design for safety  
The second one is how do we carry out task specific risk assessment  
The third one is how do we promote dynamic risk assessment  
The fourth one is how can we make good use of situation awareness while working  
The fifth one is how do we adopt innovative technology  
The last one is how do we promote a people-oriented risk management  
First, concerning design for safety  
If we can consider the risks of occupational safety and health (OSH)  
in construction stage or maintenance stage during the project planning period  
then many OSH problems can be overcome  
I would like to thank the HA for keenly promoting design for safety  
For example, in the picture on the left  
you can see a canopy of the building, or the roof of a covered walkway  
There are barriers and railings installed to prevent workers from falling while working  
The picture on the right, for accessing the water tank on the roof  
or carrying out the repairing works for the external pipeworks  
HA requests the contractor  
to reserve a safe maintenance access  
or a safe working platform for workers  
Second thing I want to point out

that the risk assessments in our industry are generic  
Take a look at this chart for example, during lifting operation  
the main cause of hazard is improper lifting  
So, what exactly is the hazard? It has not been mentioned  
How about the control measures?  
For example, the crane operator and the rigger must complete relevant training  
the operator should operate the crane according to the manufacturer's instructions  
To be honest, we can jot these measures down even we are not on site  
Such generic risk assessments are ineffective for preventing accidents  
How do we improve?  
Nowadays, there is a new trend in our industry  
to carry out task-specific risk assessments  
Task-specific risk assessments  
target the job nature of the work and its workflow  
To further enhance our work  
we can use the 4M1E method  
The first 'M' is 'man', referring to the qualifications and experience of people  
The second 'M' is 'machine', referring to choice of machines  
The third 'M' is 'material', referring the characteristics of the materials we use  
The fourth 'M' is 'method', referring the methods we use in our work  
Lastly, 'E' is 'environment', referring the work environment  
If we can consider using this 4M1E framework  
our risk assessments can be more specific  
Let me give you an example  
When we dismantle a tower crane, we need to lift some of its components  
What can you think of for 'man'?  
Maybe you will think of operators, riggers, signallers, lifting supervisors  
how do you arrange their work?  
Next, what 'machine' would you choose?  
Do you choose a suitable tower crane  
based on its safe working load or working radius?  
For 'material', we need to consider the materials that we are lifting  
For example, when lifting a component like an A-frame  
have we taken into account of shifting of centre of gravity?  
The next one is 'method'  
For example, should the components be placed in a storage area  
or put them directly onto a lorry-mounted crane for removal?  
If we choose the latter  
have we considered how workers can remove the hooks on the deck of lorry-mounted crane?  
In the past, serious accidents happened  
workers fell from the lorry-mounted crane while they were working  
So that is how we approach task-specific risk assessment  
Here was another example, about the truss-out scaffolding, the picture at the top left  
Would the exterior environment obstruct the installation of anchor bolts to brackets?  
The picture on the right, should we use a wired or wireless electric drill?  
For the selection of truss-out scaffolding  
Should we adopt I-shaped or T-shaped brackets?  
we did a research with HKUST  
Two advantages of T-shaped brackets when compare with I-shaped brackets are  
First, it is easier to install the third anchor bolt  
Second, if the bracket is damaged for the first time  
the T-shaped brackets can provide more bearing capacities than the  
I-shaped ones  
so it improves safety  
Therefore, the 4M1E approach can help us enhancing risk assessment



But task-specific risk assessment should start at the planning stage of a project  
At workplace, the working environment changes from time to time  
or workers in different trade work together  
which may derive another risk  
So, risk assessment is not static  
it keeps updating in accordance with the change of work environment or procedure  
So, a dynamic risk assessment is very important  
Here is an example in the video on the right  
This was widely circulated on social media a few months ago  
This was at a commercial building in Hung Hom  
Two workers were cleaning the curtain wall on a gondola  
The gondola sway around like a pirate ship under a sudden strong wind  
and it kept banging against the curtain wall  
Actually, we can see here significantly that  
a dynamic risk assessment was not carried out  
Another example, workers were going to use the gondola from the roof  
Was it windy?  
Even if the Observatory had not issued any strong wind signal  
we could measure the wind speed by anemometer  
If it was over 7m per second  
we should not use the gondola  
Moreover, was the location of work at height?  
Generally, the wind would be stronger when the place is higher  
Or a place would be located between two other building structures  
this could lead to a funnel effect and increase the wind pressure  
Dynamic risk assessment can help us to consider many factors  
The fourth idea is working on site  
workers themselves have a degree of responsibility  
Do they make use of situation awareness?  
According to the Health and Safety Executive in the UK  
“Situation Awareness” is that  
Have you pay attention to the people, things or environment around you  
which may be threatened your safety?  
I can give you an example  
In January this year, there was a fatal incident on a Quarry Bay construction site  
A rigger was standing behind a crawler crane at work  
He was trapped to death by the back of the crane and nearby barriers  
This was an obvious case lacking of situation awareness  
How do you know?  
It was because standing behind the crawler crane was very dangerous  
When it started to rotate , it could easily bump into the worker  
Another fatal accident happened in Happy Valley in May this year  
A worker was working on a scaffold, but he did not aware that  
another gang of workers were lifting bamboo above him  
Pay attention, everyone: the space directly under a lifting load  
often called ‘danger zone’, is very dangerous  
At the end, the wire was broken  
the bamboo fell and hit the worker, finally he fell to his death from the scaffold  
so situation awareness is very important  
But how can we do practically?  
I would like to recommend four steps for your consideration  
The acronym is SAGE  
‘S’ stands for ‘Stop and Think’  
Pay attention to whether your surrounding environment threatening your safety

The second, 'A' is for 'Ask and Clarify'  
You need to ask, clarify and communicate clearly before work  
What does 'G', the third letter, stand for?  
we do not only complete the job  
but we have to get it done, do a Good job  
What about the last letter, the letter 'E'?  
We have to do more Explanation to our workers  
and explain how additional safety measures can benefit them  
Indeed, you can become a sage if you follow these steps  
you are aware of the situation awareness when you are working  
Before a lifting operation, think each step thoroughly  
Stop and think: how can we do it correctly?  
How can we do it well?  
Make sure that the load is stable  
Take all the safety precautions so that we can go home safe  
The lifting operation just now was a good example  
Also, the construction sites of HA are adopting the Safe Working Cycle (SWC)  
We can also incorporate dynamic risk assessment  
into the Hazard Identification Activity of the SWC  
During the Safety Co-ordination Meetings after work each day  
we can review the effectiveness of the task-specific risk assessment  
We can also integrate it into the review of the SWC  
As Mr Leung mentioned just now  
the Housing Department (HD) is keen in promoting innovative technology on sites  
which can also help reduce risk  
For example, many sites have adopted artificial intelligence (AI)  
or RFID technology to locate the danger zones on site  
so can remind workers of danger zones such as lifting zone or excavation area  
HD also encourages contractors  
to adopt Building Information Modelling (BIM)  
This is a great tool. Why?  
Because BIM can simulate high-risk work processes  
What are the risks?  
Then, through virtual reality or augmented reality  
workers can get a better grasp of the risks involved  
Lastly, it is also important to promote a 'people-oriented' risk management  
Mr Luk also mentioned that HD has been promoting a caring culture  
which is very important, because if we can create a caring culture  
not only will our sites be safer, we can also be happier in our work  
and we can be more effective  
Most importantly we can reduce risks  
As the Chairman of the OSHC said, we are working with the Labour Department  
to promote a large-scale safety promotion event  
In the event, the industry is reminded to pay more attention to  
working at height or operating heavy machinery  
In conclusion  
The presentation today includes how to carry out design for safety  
and also 4M1E for task-specific risk assessment  
I have also emphasised the importance of dynamic risk assessment on site

Workers should apply situation awareness while working  
We should not only complete our work  
but also make sure it is done right and done well  
To quote the International Social Security Association (ISSA)  
which is promoting a campaign called Vision Zero



The campaign suggests that all work-related incidents  
sicknesses and injuries are preventable  
We cannot compromise when it comes to human lives  
so let us do risk management well  
Let us safeguard site safety and build a caring culture on site  
Thank you

VO: Thank You For Watching